

Please type a plus sign (+) inside this box



PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01055-2  
First Inventor Thorsten Matthee  
Title APPARATUS AND METHOD FOR COATING A SUBSTRATE BY MEANS OF A CHEMICAL GAS PHASE SEPARATION PROCESS  
Express Mail Label No. EL 775783787 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 9]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Priority Document

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09 / 009394

Prior application information:

Examiner Colaianni, M.

Group Art Unit: 1731

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

000987

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name Michele J. Young, Salter & Michaelson  
321 South Main Street  
Address  
City Providence State RI Zip Code 02903  
Country US Telephone 401-421-3141 Fax 401-861-1953

Name (Print/Type)

Michele J. Young

Registration No. (Attorney/Agent)

43,299

Signature

Date

February 28, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

02/28/02  
1c654 U.S. PTO

03-01-02

A

Practitioner's Docket No. 01055-2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Matthee, Thorsten et al.

Application No.: To be assigned

Group No.: To be assigned

Filed: 02/28/2002

Examiner: To be assigned

For: APPARATUS AND METHOD FOR COATING A SUBSTRATE BY MEANS OF A CHEMICAL GAS PHASE SEPARATION PROCESS

Assistant Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL 775783787 US  
Date of Deposit 02/28/2002

I hereby state that the following *attached* paper or fee

Continuation Patent Application Transmittal (1 pg.);

Application including:

Specification (5 pgs.);

Claims (2 pgs.);

Abstract (1 pg.);

List of References (1 pg.);

Formal Drawings (4 sheets/4 figures/in triplicate);

Copy of Priority Document (19 pgs.);

Copy of Notice of Recordation of Assignment (2 pgs.);

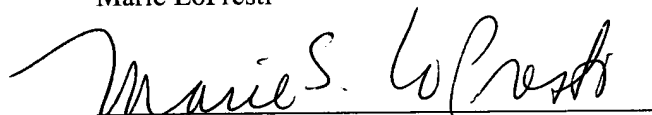
Copy of Patent Assignment Transmittal Form (2 pgs.);

Copy of Assignment to Fraunhofer-Gesellschaft zur Förderung der angewandten (2 pgs.); and

Acknowledgment Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marie LoPresti

  
Signature of person mailing paper or fee

10086190, 022802